

UNITED STATES DISTRICT COURT  
for the  
Eastern District of New York

TRIDENT CAPITAL GROUP FUND I LLC,

)

)

)

)

*Plaintiff(s)*

v.

Civil Action No. 24-cv-02318-HG

THE NASDAQ STOCK MARKET, LLC, BENJAMIN  
HASKELL, NIKOLAI UTOCHKIN, EUN AH CHOI,  
ARNOLD GOLUB, and STANLEY HIGGINS

)

)

)

*Defendant(s)*

)

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) See attached rider

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Denver G. Edwards, Esq.  
Bradford Edwards LLP  
12 E. 49th Street, 11th Floor  
New York, New York 10017

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: 04/01/2024



BRENNNA B. MAHONEY  
*CLERK OF COURT*

*s/ Aaron J. Herrera*

*Signature of Clerk or Deputy Clerk*

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 24-cv-02318-HG

**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for (*name of individual and title, if any*) \_\_\_\_\_  
 was received by me on (*date*) \_\_\_\_\_.

- I personally served the summons on the individual at (*place*) \_\_\_\_\_  
 \_\_\_\_\_ on (*date*) \_\_\_\_\_; or
- I left the summons at the individual's residence or usual place of abode with (*name*) \_\_\_\_\_,  
 \_\_\_\_\_, a person of suitable age and discretion who resides there,  
 on (*date*) \_\_\_\_\_, and mailed a copy to the individual's last known address; or
- I served the summons on (*name of individual*) \_\_\_\_\_, who is  
 designated by law to accept service of process on behalf of (*name of organization*) \_\_\_\_\_  
 \_\_\_\_\_ on (*date*) \_\_\_\_\_; or
- I returned the summons unexecuted because \_\_\_\_\_; or
- Other (*specify*): \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

**Print**

**Save As...**

**Reset**

RIDER TO SUMMONS

LIST OF DEFENDANTS

The NASDAQ STOCK MARKET, LLC  
C/O The Corporation Trust Company  
Corporation Trust Center  
1209 Orange Street  
Wilmington, DE 19801

Benjamin Haskell  
Associate Vice President  
Head of U.S. Initial Listings and  
Structured Products Listing Qualifications  
The Nasdaq Stock Market, LLC  
805 King Farm Boulevard  
Rockville, MD 20850

Nikolai Utochkin  
Counsel Listing and Governance  
The Nasdaq Stock Market, LLC  
805 King Farm Boulevard  
Rockville, MD 20850

Eun Ah Choi  
Senior Vice President  
US Listing Qualifications & Market Surveillance  
The Nasdaq Stock Market, LLC  
805 King Farm Boulevard  
Rockville, MD 20850

Arnold Golub  
Vice President and Deputy Counsel  
The Nasdaq Stock Market, LLC  
805 King Farm Boulevard  
Rockville, MD 20850

Stanley Higgins, Associate Vice President  
Nasdaq Regulations  
The NASDAQ Stock Market, LLC  
805 King Farm Blvd.  
Rockville, MD 20850